

# Stand Treelist Imputation



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Kate McBurney, Jeff Ricklefs, Peter Gould, Jacob Strunk, et al.

# Sustainable Harvest Calculation | WA

Generating a perpetual supply of revenue on state trust lands for trust beneficiaries requires responsible management with an emphasis on **long-term sustainability**. A major component of DNR's approach to sustainable management is calculation of a sustainable harvest level, which is **the volume of timber to be scheduled for sale during a planning decade** according to applicable laws, policies, and procedures ([RCW 79.10.300](#))(5).

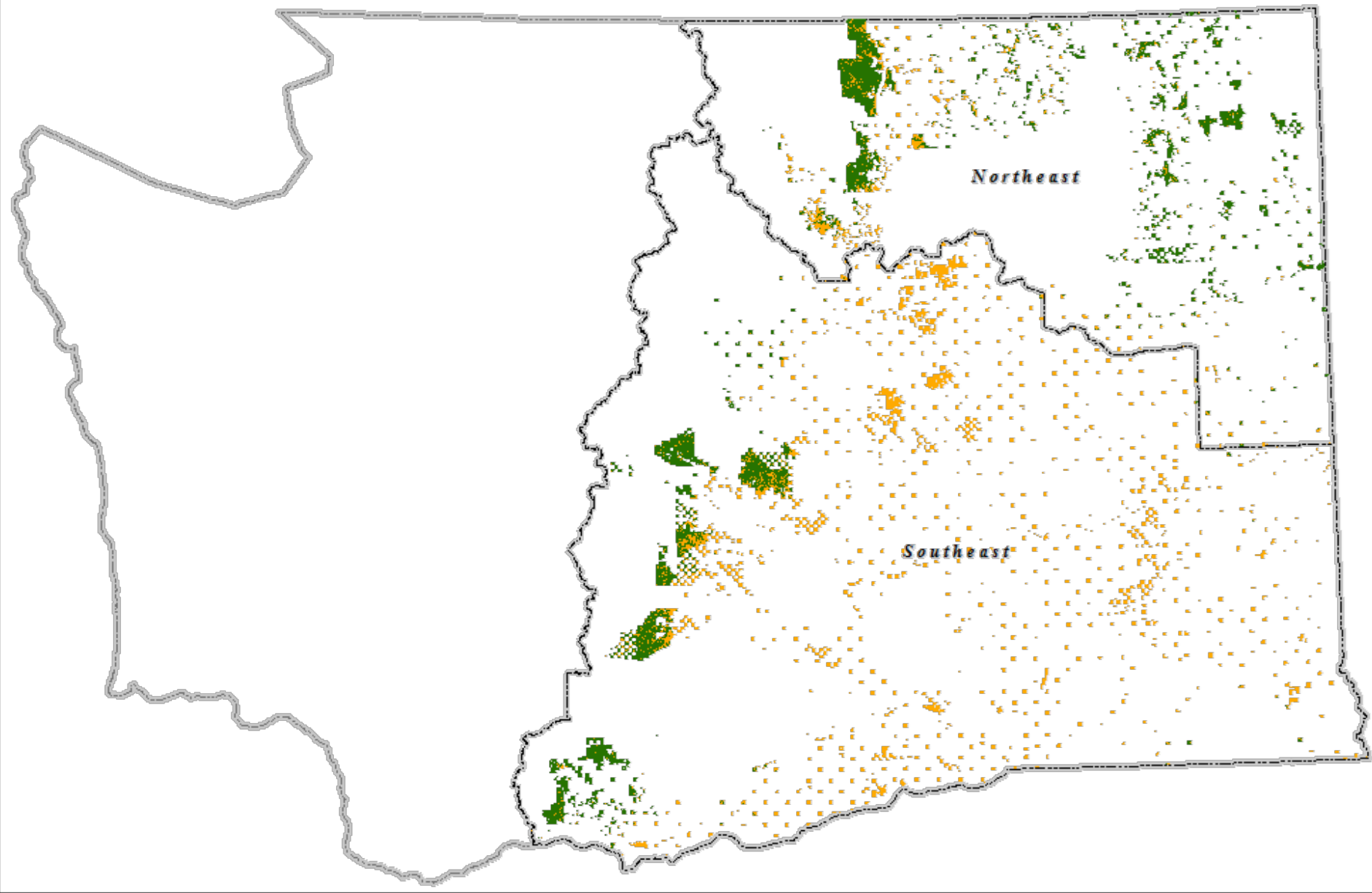
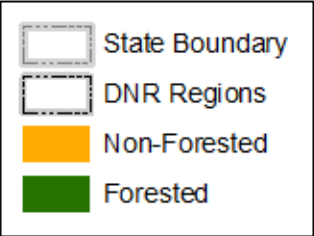
**DNR is required to set a sustainable harvest level by Washington state law.** Specifically, DNR must periodically adjust acreages designated for inclusion in the sustained yield management program and calculate a sustainable harvest level ([RCW 79.10.320](#)). Sustained yield means harvesting on a continual basis without major prolonged curtailment or cessation of harvest ([RCW 79.10.310](#)). The **sustainable harvest level is a policy decision** that requires approval from the [Board of Natural Resources](#).

**[S]eparate sustainable harvest level[s] for forested state trust lands located east and west of the Cascade Crest because growing conditions and management strategies [differ].**

<https://www.dnr.wa.gov/shc>

# DNR Managed EWA Lands

| DNR Managed  | Acres     |
|--------------|-----------|
| Forested     | 756,000   |
| Non-Forested | 803,000   |
| Total        | 1,559,000 |





# FVS Growth Modeling

[Essential FVS: \(usda.gov\)](https://www.usda.gov/forestmanagement/fvs/)

**Variables that must be recorded for all trees:**

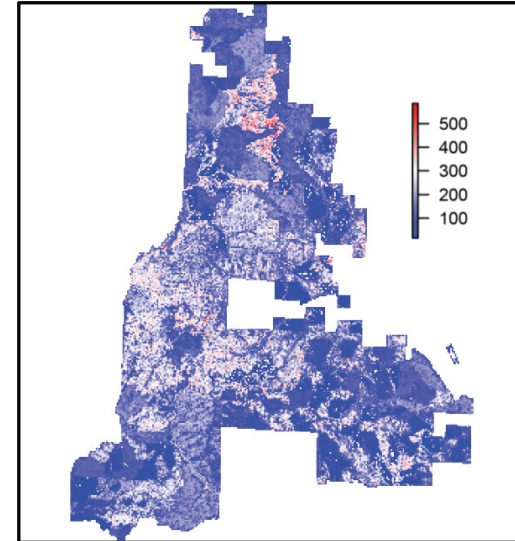
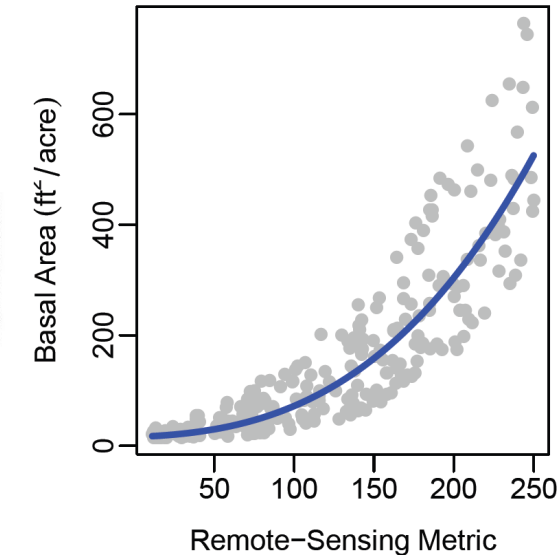
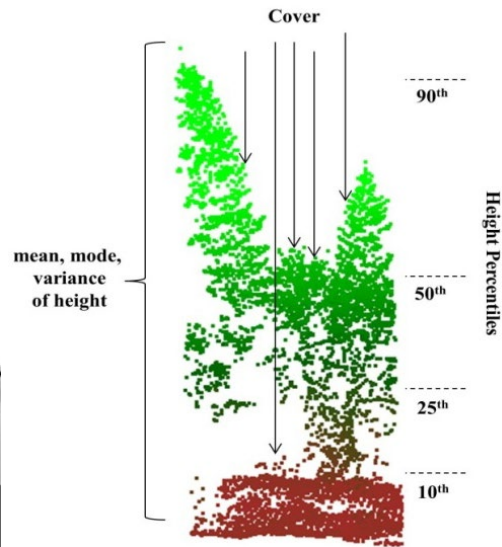
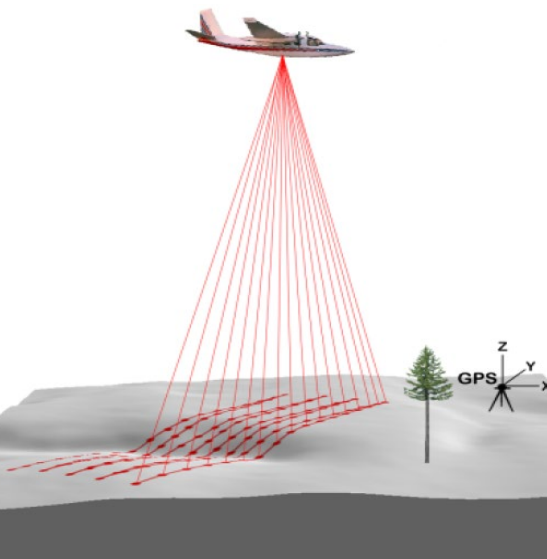
- Plot Identifier
- Species
- Diameter at Breast Height (DBH)

Other variables, however, serve to better describe unique site and tree characteristics and will improve the resolution of the projection.

**The model will accommodate up to 3000 individual tree records (per stand).**



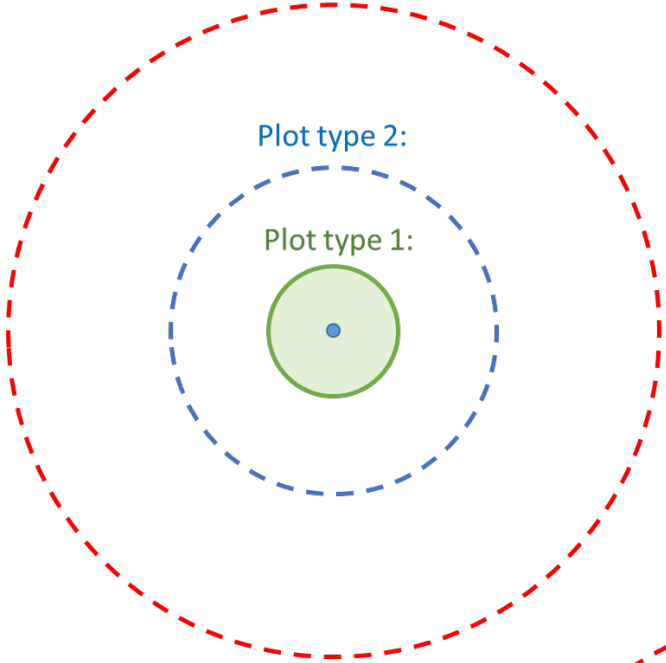
# Remote Sensed - Forest Resource Inventory System (RS-FRIS)



Plot type 3:

Plot type 2:

Plot type 1:



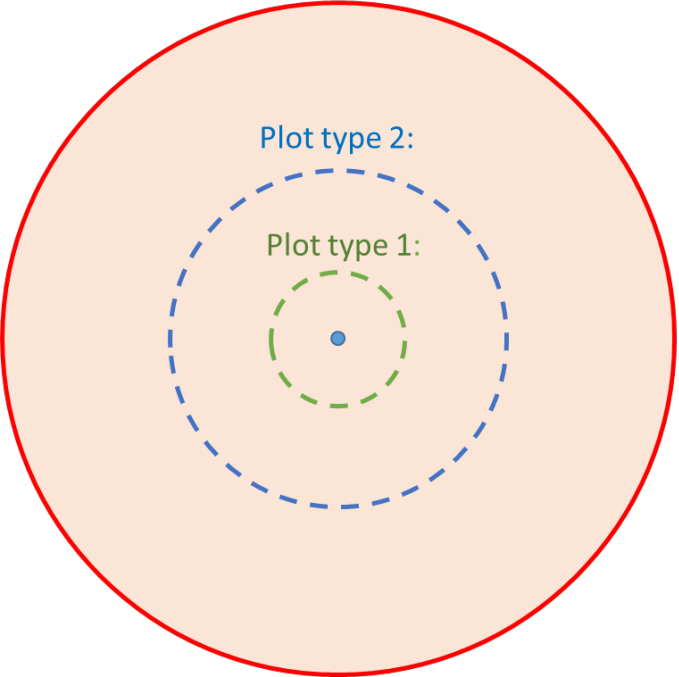
Plot type 1:

|              |   |
|--------------|---|
| Radius       | 7.4 feet                                    |
| Area         | 1/250 <sup>th</sup> ac                      |
| Sample Trees | Live trees > 1 foot tall and < 2 inches dbh |

Plot type 3:

Plot type 2:

Plot type 1:



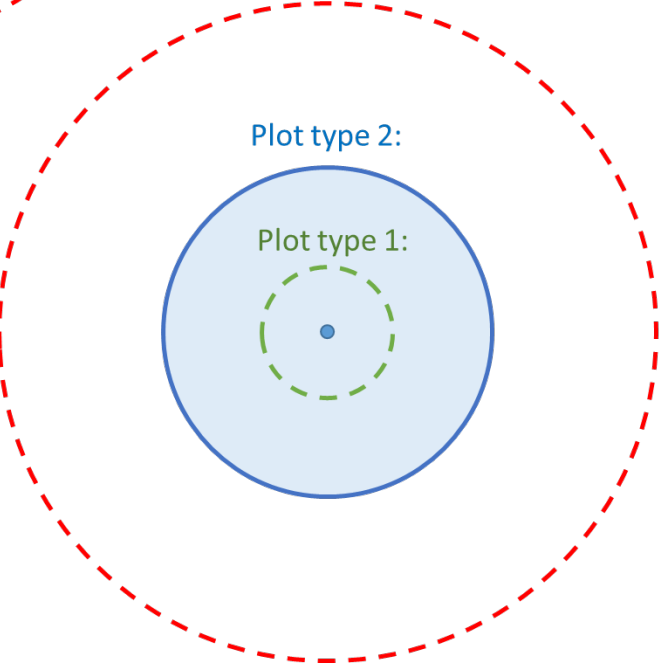
Plot type 3:

|              |                                      |
|--------------|--------------------------------------|
| Radius       | 37.2 feet                            |
| Area         | 1/10 <sup>th</sup> ac                |
| Sample Trees | Live and dead trees ≥ 5.5 inches dbh |

Plot type 3:

Plot type 2:

Plot type 1:

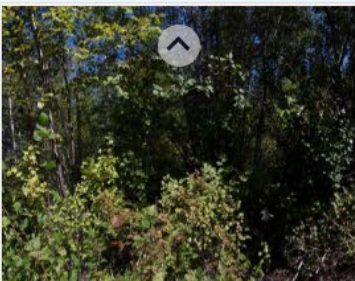
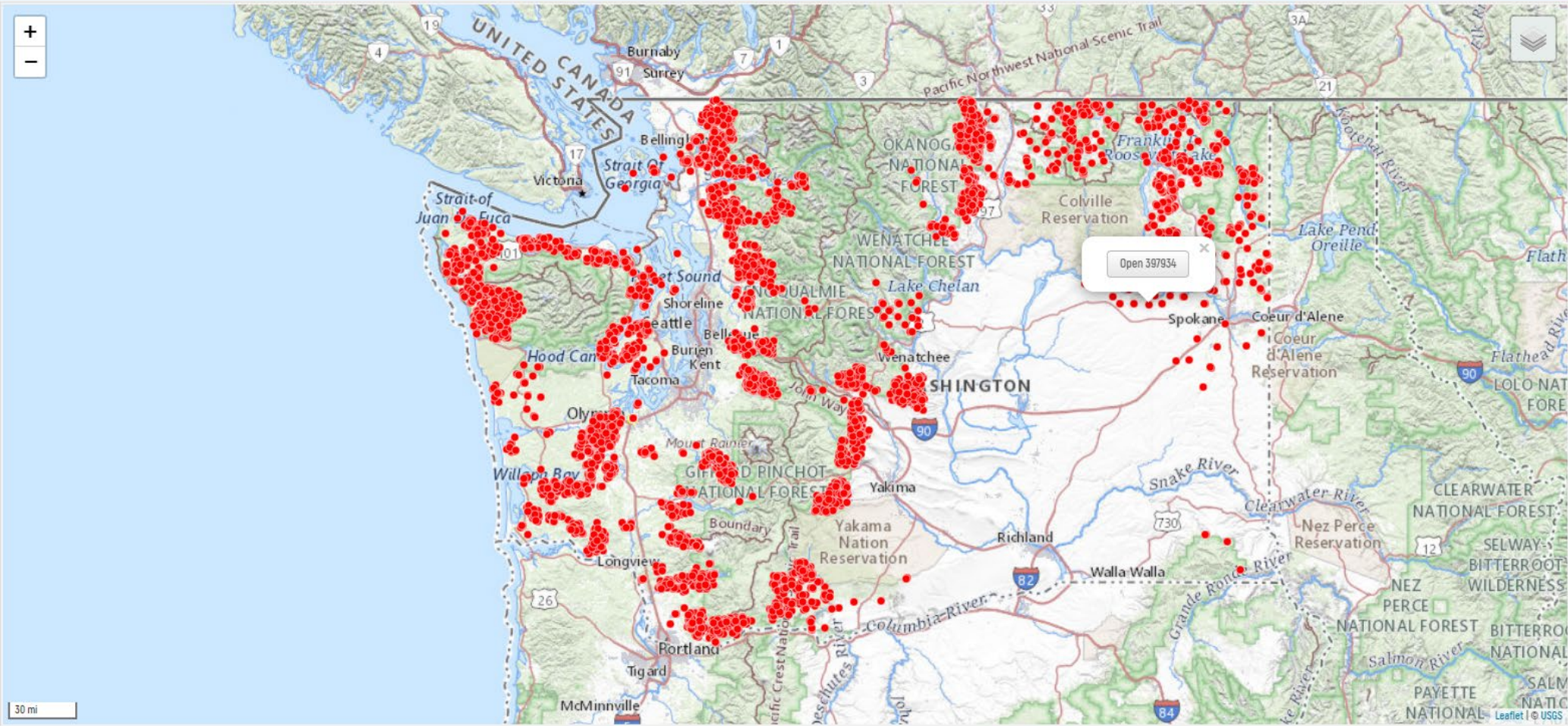


Plot type 2:

|              |  |
|--------------|--|
| Radius       | 18.6 feet                                      |
| Area         | 1/40 <sup>th</sup> ac                          |
| Sample Trees | Live trees ≥ 2 inches dbh and < 5.5 inches dbh |



# RS-FRIS Plot Viewer

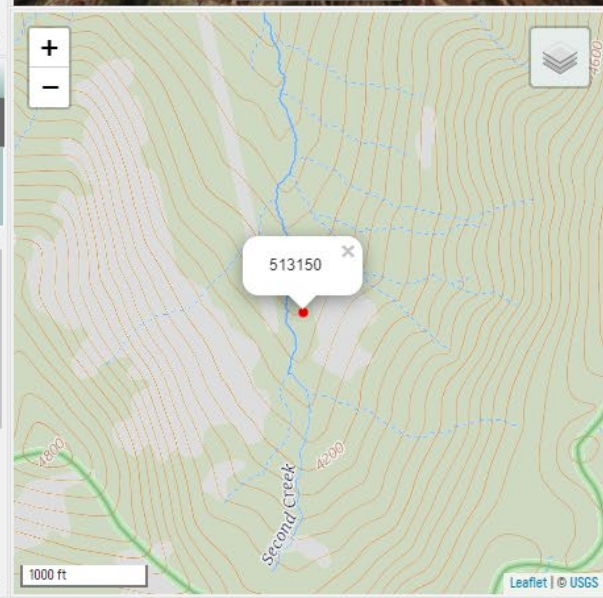


| RS-FRIS Plots |           |                 |          |                   |                 |                       |                              |                   |                      |
|---------------|-----------|-----------------|----------|-------------------|-----------------|-----------------------|------------------------------|-------------------|----------------------|
| Date Measured | Plot Name | Region          | District | Species (Primary) | BA (Basal Area) | Height: Largest Value | QMD (Quadratic Mean Diame... | DBH: Largest Live | TPA (Trees per Acre) |
| 2018/08/29    | 116465    | Pacific Cascade | Yacolt   | DF                | 192.7           | 127                   | 16.5                         | 23.7              | 130.3                |
| 2018/08/28    | 397934    | Northeast       | Arcadia  | PP                | 93.4            | 51                    | 5.2                          | 11.3              | 621.1                |
| 2018/08/28    | 113573    | Pacific Cascade | Yacolt   | DF                | 293.1           | 136                   | 8.1                          | 32.9              | 824.2                |
| 2018/08/28    | 114535    | Pacific Cascade | Yacolt   | DF                | 160.7           | 85                    | 8.3                          | 15.2              | 430.8                |
| 2018/08/28    | 127988    | Pacific Cascade | Yacolt   | DF                | 69.2            | 76                    | 12.6                         | 16.3              | 80.2                 |
| 2018/08/28    | 127008    | Pacific Cascade | Yacolt   | DF                | 98.3            | 77.6                  | 7.2                          | 12.7              | 350.7                |
| 2018/08/28    | 129887    | Pacific Cascade | Yacolt   | DF                | 277.9           | 130                   | 5.3                          | 29.8              | 1847.1               |
| 2018/08/28    | 133728    | Pacific Cascade | Yacolt   | DF                | 1.4             | 4.7                   | 0.7                          | 1                 | 506.4                |
| 2018/08/28    | 128956    | Pacific Cascade | Yacolt   | DF                | 193.7           | 89.1                  | 3.7                          | 16.6              | 2579.5               |





| Tree Records  |    |    |     |           |              |         |         |                        |     |     |    |         |      |  |     |     |                  |      |      |       |
|---------------|----|----|-----|-----------|--------------|---------|---------|------------------------|-----|-----|----|---------|------|--|-----|-----|------------------|------|------|-------|
| Q: All Fields |    |    |     | N Trees   | Measurements | Volumes | BioCarb | Damages                |     |     |    |         |      |  |     |     |                  |      |      |       |
|               | N  | PT | Sts | Sp        | N Trees      |         |         | Measurements           |     |     |    | Volumes |      |  |     |     | Biomass & Carbon |      |      | Note  |
|               |    |    |     |           | Count        | XFAC    | TPA     | DBH                    | Ht  | HLC | CR | CFgt... | CFgm | CFnm   | BFg | BFn | CFgb             | LbAB | LbAC |       |
| i             | 1  | 3  | 1   | WH        | 1            | 10.02   | 10.02   | 12.5                   | 94  | 37  | 61 | 34      | 30   | 30   | 150 | 30  | 34               | 1378 | 689  |       |
| i             | 2  | 2  | 1   | DF        | 1            | 10.02   | 10.02   | 15.6                   | 99  | 63  | 36 | 48      | 44   | 44   | 220 | 44  | 45               | 1911 | 955  |       |
| i             | 3  | 2  | 1   | DF        | 1            | 10.02   | 10.02   | 7.3                    | 90  | 41  | 54 | 11      | 8    | Merchantable cubic-foot gross volume. This predicted value does not account for defect. Units = cubic feet / acre. |     |     |                  |      | 188  |       |
| i             | 4  | 3  | 1   | WL        | 1            | 10.02   | 10.02   | 17.6                   | 115 | 72  | 37 | 68      | 63   | 6  |     |     |                  |      |      |       |
| i             | 5  | 3  | 0   | DF        | 1            | 10.02   | 10.02   | 16.5                   | 20  |     | 0  | 11      | 8    | 8  |     |     |                  | 373  | 187  | dead  |
| i             | 6  | 3  | 1   | WL        | 1            | 10.02   | 10.02   | 15                     | 120 | 44  | 63 | 54      | 50   | 50   | 250 | 50  | 50               | 2127 | 1063 |       |
| i             | 7  | 3  | 1   | RC        | 1            | 10.02   | 10.02   | 6.2                    | 35  | 18  | 49 | 3       | 2    | 2  | 10  | 2   | 2                | 74   | 37   |       |
| i             | 8  | 3  | 1   | WL        | 1            | 10.02   | 10.02   | 9.7                    | 87  | 46  | 47 | 17      | 15   | 15   | 80  | 15  | 15               | 667  | 334  |       |
| i             | 9  | 3  | 1   | RC        | 1            | 10.02   | 10.02   | 5.7                    | 32  | 14  | 56 | 3       |      |  |     |     | 2                | 53   | 27   |       |
| i             | 10 | 3  | 1   | WL        | 1            | 10.02   | 10.02   | 15.3                   | 121 | 82  | 32 | 56      | 52   | 52   | 270 | 52  | 53               | 2224 | 1112 |       |
| i             | 11 | 2  | 1   | WH        | 1            | 40.07   | 40.07   | 3                      | 17  | 10  | 41 | 0       |      |  |     |     |                  | 18   | 9    |       |
| i             | 12 | 3  | 1   | RC        | 1            | 10.02   | 10.02   | 10.9                   | 60  | 13  | 78 | 16      | 13   | 13   | 60  | 13  | 15               | 423  | 212  |       |
| i             | 13 | 3  | 0   | DF        | 1            | 10.02   | 10.02   | 6.4                    | 52  |     | 0  | 5       | 3    | 3  | 20  | 3   | 4                | 158  | 79   | dead  |
| i             | 14 | 3  | 1   | WL        | 1            | 10.02   | 10.02   | 13.4                   | 109 | 75  | 31 | 39      | 36   | 36   | 180 | 36  | 37               | 1094 | 547  | conks |
| i             | 15 | 3  | 1   | DF        | 1            | 10.02   | 10.02   | 15.9                   | 110 | 72  | 35 | 55      | 51   | 51   | 270 | 51  | 52               | 2216 | 1108 |       |
| i             | 16 | 3  | 1   | WH        | 1            | 10.02   | 10.02   | 8.8                    | 63  | 24  | 62 | 11      | 9    | 9  | 50  | 9   | 11               | 447  | 224  |       |
| i             | 17 | 3  | 0   | DF        | 1            | 10.02   | 10.02   | 8.8                    | 25  |     | 0  | 4       | 3    | 3  | 10  | 3   | 3                | 147  | 74   | dead  |
| i             | 18 | 3  | 0   | DF        | 1            | 10.02   | 10.02   | 10.8                   | 77  |     | 0  | 19      | 16   | 16   | 80  | 16  | 17               | 742  | 371  | dead  |
| i             | 19 | 3  | 1   | RC        | 1            | 10.02   | 10.02   | 7.5                    | 41  | 13  | 68 | 6       | 4    | 4  | 20  | 4   | 5                | 138  | 69   |       |
| i             | 20 | 3  | 1   | WH        | 1            | 10.02   | 10.02   | 6.1                    | 56  | 23  | 59 | 5       | 3    | 3  | 10  | 3   | 4                | 162  | 81   |       |
| DDWM Records  |    |    |     |           |              |         |         |                        |     |     |    |         |      |  |     |     |                  |      |      |       |
| Q: All Fields |    |    |     | Diameters |              |         |         |                        |     |     |    |         |      |  |     |     |                  |      |      |       |
| Az            | N  | Sp | DC  | Length    | Diameters    |         |         | Gross Volume CuFt/Acre |     |     |    |         |      |  |     |     |                  |      |      |       |
|               |    |    |     |           | Transect     | Small   | Large   |                        |     |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 1  | OT | 2   | 15        | 4            |         | 4       |                        | 6   |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 2  | OT | 4   | 27        | 7            |         | 5       |                        | 9   |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 3  | OT | 3   | 31        | 9            |         | 8       |                        | 10  |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 4  | OT | 3   | 14        | 5            |         | 4       |                        | 6   |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 5  | OT | 3   | 6         | 9            |         | 9       |                        | 10  |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 6  | RC | 4   | 25        | 13           |         | 11      |                        | 16  |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 7  | OT | 4   | 5         | 6            |         | 5       |                        | 6   |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 8  | OT | 3   | 33        | 9            |         | 6       |                        | 9   |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 9  | OT | 3   | 16        | 6            |         | 4       |                        | 6   |     |    |         |      |  |     |     |                  |      |      |       |
| 120           | 10 | OT | 5   | 20        | 8            |         | 6       |                        | 10  |     |    |         |      |  |     |     |                  |      |      |       |
| 210           | 1  | OT | 5   | 16        | 4            |         | 4       |                        | 5   |     |    |         |      |  |     |     |                  |      |      |       |
| 210           | 2  | OT | 4   | 21        | 9            |         | 8       |                        | 11  |     |    |         |      |  |     |     |                  |      |      |       |





# Components involved:

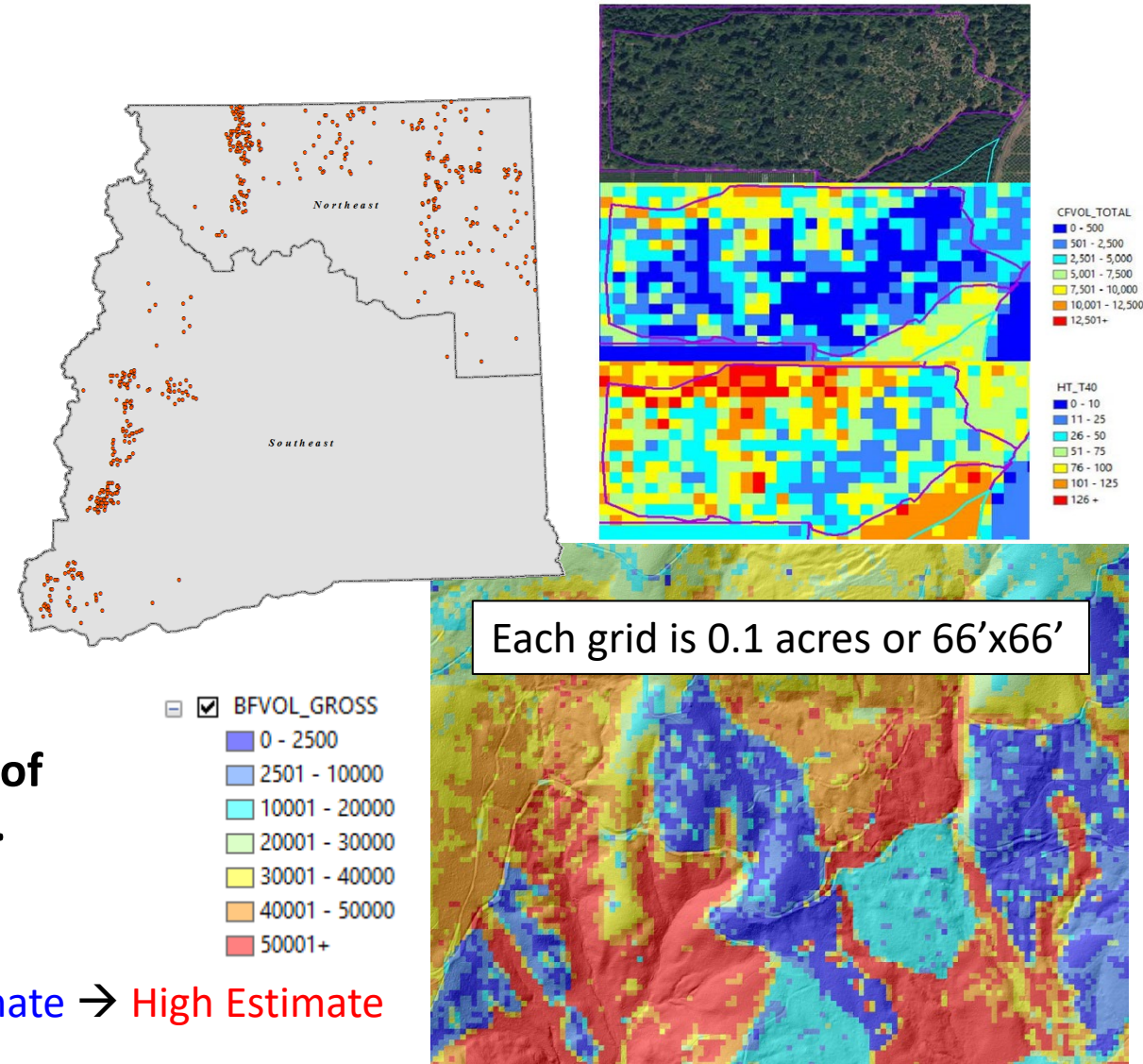
## Tree Data from RS-FRIS Plots:

Species, DBH, Height

## RS-FRIS Raster Variables

1. Total Cubic Volume
2. Heights of the Largest (DBH) Trees
3. Percent Tree Cover

**These three raster variables have the least amount of variation when compared to traditional cruise data.**

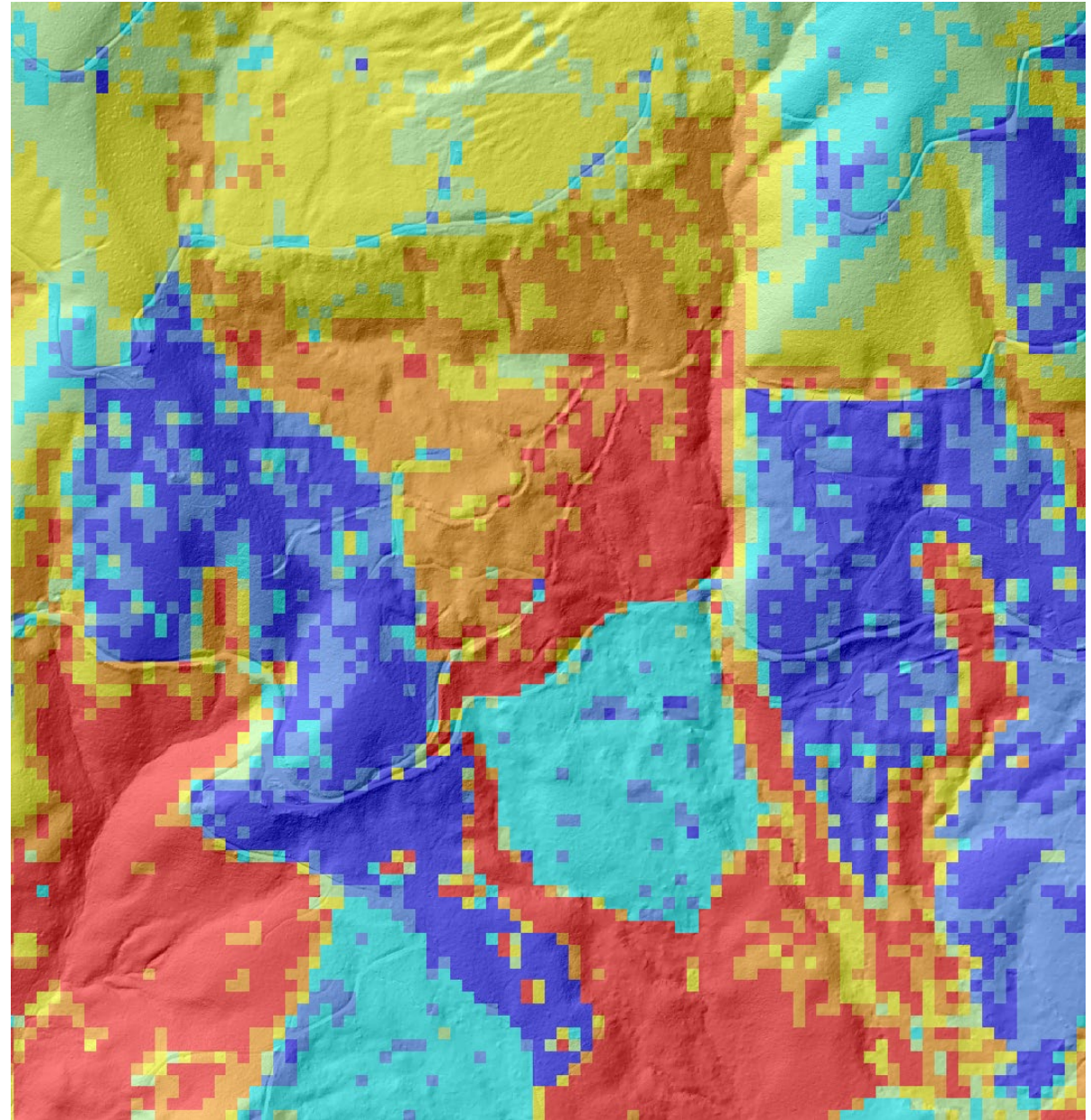


Low Estimate → High Estimate

# Stand Treelist Imputation

*Why? FVS needs lists of trees to represent stands.*

- 1) Gather Data
  - a) Normalize RS-FRIS plot data for FVS input
- 2) Group (*raster*) stand-grids into bins, by volume
- 3) Match RS-FRIS plots to stand bins by ecotype
- 4) Impute all stand-grid volume-bins with the ecotype-matched, plot treelists





# Plot <-> Stand-Grid (Bin)

Assigns the best matching RS-FRIS plot to a target stand-grid in terms of having the smallest difference between variables:

- Metrics:
  - Heights (*ht\_t40*)
  - Cover
  - Volume (*cfvol\_total*)
- Climate:
  - Moisture
  - Elevation
  - Temperature

Goal:

Find out what plot treelist is most appropriate for a grid cell.

```
if (eco == 1) { # SAF-ES-LP Subalpine
  return (
    abs((x$vol1 - y$meanvol2)*2)
    + abs((x$ht1 - y$meanht2))
    + abs((x$cov1 - y$meancov2))
    + abs((x$elevation1 - y$elevation2)*2)
    + abs((x$aet1 - y$aet2))
    + abs((x$td1 - y$td2))
    + abs((x$mat1 - y$mat2))
  )
}
else if (eco == 2) { # CMMC
  return (
    abs((x$vol1 - y$meanvol2)*2)
    + abs((x$ht1 - y$meanht2))
    + abs((x$cov1 - y$meancov2))
    + abs((x$elevation1 - y$elevation2))
    + abs((x$aet1 - y$aet2))
    + abs((x$mat1 - y$mat2))
  )
}
else if (eco == 3) { # WMMC
  return (
    abs((x$vol1 - y$meanvol2)*2)
    + abs((x$ht1 - y$meanht2))
    + abs((x$cov1 - y$meancov2))
    + abs((x$aet1 - y$aet2))
    + abs((x$map1 - y$map2))
    + abs((x$shm1 - y$shm2))
  )
}
```

# R6 Class: Imputer

```
initialize = function (debug = FALSE, explore = FALSE, run = FALSE) {  
  self$debug = debug  
  self$explore = explore  
  print("Initializing a new instance of the Imputer class.")  
  if (run) {  
    t1 = Sys.time()  
    self$.impute(debug, explore)  
    t2 = Sys.time()  
    elapsed = t2 - t1  
    print(elapsed)  
  }  
},
```

```
# Examples of how to call the Imputer R6class written for imputi  
  
#####  
# Example 1 ----- Run the parLapply() version --  
#####  
  
i1 <- Imputer$new(run = TRUE, debug = FALSE)  
  # 'run' argument tells it to use parLapply() with  
  
#####  
# Example 2 ----- Run the lapply() version ----  
#####  
  
i2 <- Imputer$new(run = FALSE, debug = FALSE)  
  # 'run' = FALSE allows us to subsequently set make  
  # This is done in order to use lapply(), without c  
  
# It is necessary to set 'make_cluster' = FALSE in order to use  
i2$make_cluster = FALSE  
# The .impute() function runs all of the processes which are par  
i2$.impute(i2$debug, i2$explore)
```

```
.impute = function (debug, explore, name_ref=".impute()") {  
  t1 = Sys.time()  
  if (debug) {  
    self$make_cluster = FALSE  
  }  
  self$cluster = .fx_set_cluster()  
  ptm <- proc.time() # Start the clock!  
  self$ptm = ptm  
  print("Beginning imputation.")  
  print(ptm)  
  print("Proceeding to query all trees from the database.")  
  # aggregates class methods into one function for general use  
  self$trees = .step_1_query_trees(is_oracle)  
  print(proc.time() - ptm) # Stop the clock!  
  print("Proceeding to read all stand grids from the R files.")  
  self$stands = .step_2_read_stands(n = 7, directory = dir$inputs[1], name_prefix =  
  print(proc.time() - ptm) # Stop the clock!  
  print("Proceeding to group all stand-grids in bins according to volume.")  
  self$volumes = .step_3_bin_stand_grids(stands, debug = FALSE)  
  print(proc.time() - ptm) # Stop the clock!  
  print("Proceeding to match RS-FRIS plots to stand-grid volume-bins by ecotype.")  
  self$imputations = .step_4_match_ecotype(trees, volumes, cluster, plot_file = "plots_er  
  print(proc.time() - ptm) # Stop the clock!  
  # data exploration  
  print("Proceeding to read historic FRIS based numbers from the R file.")  
  self$fris = .fx_read_historic_acreage(debug = FALSE, directory = self$dir$fris[1  
  print(proc.time() - ptm) # Stop the clock!  
  print("Comparing current to historic data via FRIS.")  
  if (explore) {  
    self$map_eco_1 = .fx_explore_acreages(1, fris, plots1, volumes, debug)  
    self$map_eco_2 = .fx_explore_acreages(2, fris, plots2, volumes, debug)  
    self$map_eco_3 = .fx_explore_acreages(3, fris, plots3, volumes, debug)  
    self$map_eco_4 = .fx_explore_acreages(4, fris, plots4, volumes, debug)  
    self$map_eco_5 = .fx_explore_acreages(5, fris, plots5, volumes, debug)  
    self$map_eco_6 = .fx_explore_acreages(6, fris, plots6, volumes, debug)  
    self$map_eco_7 = .fx_explore_acreages(7, fris, plots7, volumes, debug)  
    print(proc.time() - ptm) # Stop the clock!  
  }  
  # imputation processing  
  print("Proceeding to impute all stand-grids volume bins with ecotype matched plots.")  
  self$impute_map = .step_5_process_imputations(imputations, volumes, debug)  
  print(proc.time() - ptm) # Stop the clock!  
  print("Proceeding to map all stand grids for percent difference in volume.")  
  self$plot_map = .step_6_prepare_plot_mapping(impute_map, trees)  
  print(proc.time() - ptm) # Stop the clock!  
  print(sprintf("Imputation succeeded. Returning from %s function.", name_ref))  
  t2 = Sys.time()  
  elapsed = t2 - t1  
  print(elapsed)  
  return(plot_map)  
},
```



```

#####
# Example 3 ----- Run functions individually ----- #
#####

# - This is particularly useful if:
#   (A) You only need to debug something specific
#   (B) You require intermediary review of data

i3 <- Imputer$new(debug = FALSE)
# Note that we set 'debug' = FALSE at the R6Class level above
# Demonstrated further throughout how you can alternate between using the instantiated value or a new Boolean

# It is necessary to set 'make_cluster' and call .fx_set_cluster() when 'manually' running functions
i3$make_cluster = TRUE
i3$cluster = i3$.fx_set_cluster()

trees = i3$.step_1_query_trees(
  i3$is_oracle) # 'is_oracle' gets set to FALSE, by default, during instantiation [Imputer$new()]

stands = i3$.step_2_read_stands(
  n = 7,
  directory = i3$dir$inputs[1],
  name_prefix = "vegeco",
  name_suffix = "_dat_environ_standardized_v3rsfris_20210805.rds",
  debug = FALSE)

volumes = i3$.step_3_bin_stand_grids(
  stands,
  debug = FALSE)

imputations = i3$.step_4_match_ecotype(
  trees, volumes, i3$cluster,
  plot_file = "plots_environ_standardized_domssp_20210805.rds",
  debug = i3$debug)

i3$fris = i3$.fx_read_historic_acreage(
  directory = i3$dir$fris[1],
  name_file = "original_fris_ba_speciesv2.rds",
  debug = FALSE)

impute_map = i3$.step_5_process_imputations(
  imputations = imputations,
  volumes = volumes,
  debug = i3$debug)

i3$plot_map = i3$.step_6_prepare_plot_mapping(
  impute_map,
  trees)

```

# Preliminary Thoughts:

## parLapply

- Functional programming
- OOP R6 Class

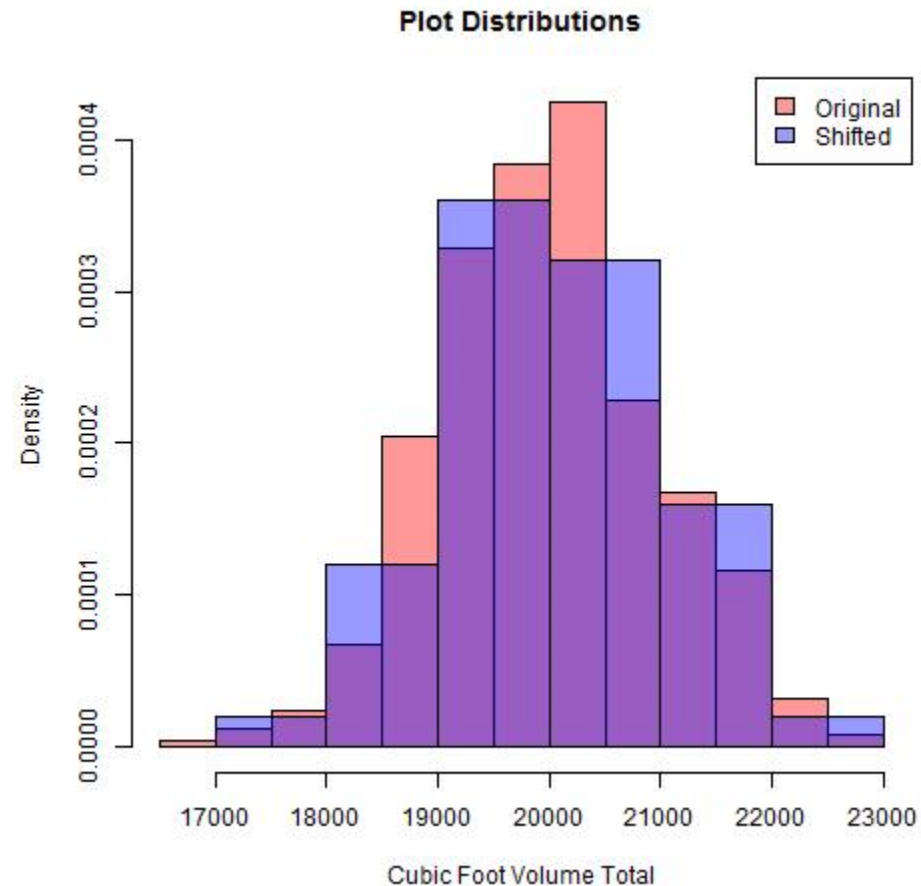
```
.fx_run_parallel = function (ecotype, plots, volumes, cluster,
                             debug = FALSE) {
  library(foreach)
  library(doParallel)
  env1 = environment()
  if (debug) {
    browser()}
  target = .fx_return_bins(ecotype, volumes, debug)
  if (self$make_cluster) {
    # proceed with cluster processing of data
    arguments = c("ecotype", "plots", "debug")
    clusterExport(cluster, arguments, envir = env1)
    registerDoParallel(cluster)
    y_neighbors = parLapply(cl = cluster,
                           x = target,
                           fun = .fx_match_plots_2_stand_grid_bins,
                           ecotype = ecotype,
                           plots = plots,
                           debug = debug)
    y_df = as.data.frame(rbindlist(y_neighbors))
    return (y_df)
  } else {
```

Known Issue:

**Plot to stand-grid(s) matching**



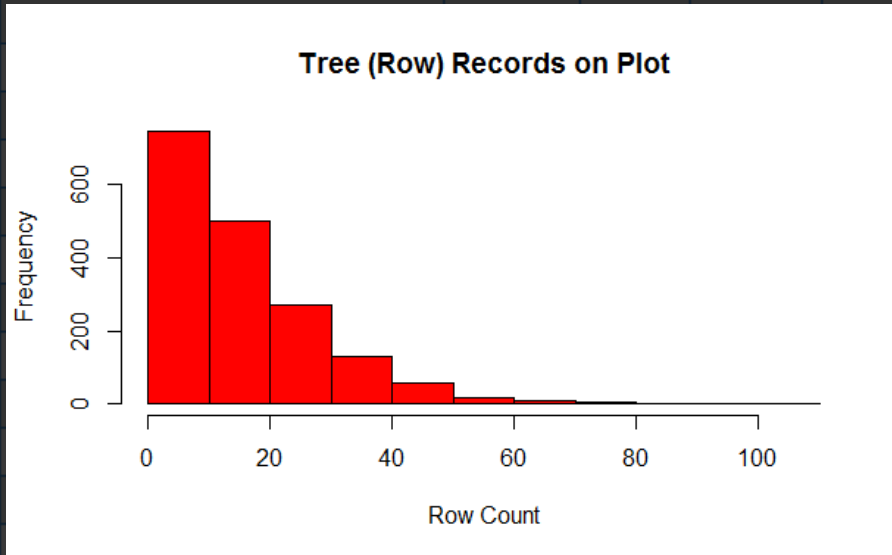
# Distribution thinning and/or shifting around the target metric



# Summarization

```
trees %>% group_by(  
  plot_id,  
  species,  
  status, dbh_m, ht_m  
) %>% summarise(  
  n = n(), # observations  
  tpa = sum(tree_acre)  
)
```

|   | plot_id                              | species | ts | dbh_m | ht_m | n  | tpa      |
|---|--------------------------------------|---------|----|-------|------|----|----------|
| 1 | 268803d5-aec4-4bf3-b84a-fcaacc2f9d3a | WA      | 1  | 3.0   | 18   | 10 | 400.70   |
| 2 | 6390feb5-29e1-40df-95a1-da416f78cf25 | LP      | 1  | 2.0   | 15   | 8  | 320.56   |
| 3 | c6074da0-5eb2-473d-a3cb-ee5bf6cb8cf0 | GF      | 1  | 1.0   | NA   | 8  | 2025.60  |
| 4 | 6390feb5-29e1-40df-95a1-da416f78cf25 | LP      | 1  | 2.0   | 12   | 7  | 280.49   |
| 5 | df3b926d-154b-444c-a799-7219477034f1 | WO      | 1  | 4.0   | 30   | 6  | 240.42   |
| 6 | 43ed4603-3f29-4102-997a-816a56a24f09 | PP      | 1  | 0.0   | 1    | 5  | 1266.05  |
| 7 | 56a546cd-2679-4d58-ba0b-10312df1e4f0 | DF      | 1  | 0.0   | 2    | 5  | 2025.68  |
| 8 |                                      |         |    |       |      | 4  | 40.08    |
| 9 |                                      |         |    |       |      | 4  | 586.56   |
| 0 |                                      |         |    |       |      | 4  | 160.28   |
| 1 |                                      |         |    |       |      | 4  | 160.28   |
| 2 |                                      |         |    |       |      | 4  | 1012.84  |
| 3 |                                      |         |    |       |      | 4  | 40.08    |
| 4 |                                      |         |    |       |      | 4  | 160.28   |
| 5 |                                      |         |    |       |      | 4  | 1012.84  |
| 6 |                                      |         |    |       |      | 4  | 160.28   |
| 7 |                                      |         |    |       |      | 4  | 160.28   |
| 8 |                                      |         |    |       |      | 4  | 40.00    |
| 9 |                                      |         |    |       |      | 1  | 26587.05 |





# Thank you for your time!

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